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SEP 25 2007

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07/05/2007

INTERNATIONAL BUSINESS MACHINES CORPORATION
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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/710,244 | 06/29/2004 | Huilong Zhu | FIS920040116US1 | 4243 |

TITLE OF INVENTION: STRUCTURES AND METHODS FOR MANUFACTURING P-TYPE MOSFET WITH GRADED EMBEDDED SILICON-GERMANIUM SOURCE-DRAIN AND/OR EXTENSION

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|----------------|--|----------------------|------------------|------------|
| nonprovisional | NO | \$1400 | \$300 | \$0 | \$1700 | 10/05/2007 |
| EXAMINER | ART UNIT | CLASS-SUBCLASS | 09/26/2007 AVONDAF2 00000024 090458 10710244 | | | |
| ERDEM, FAZLI | 2826 | 438-149000 | 01 FC:1501 | 1400.00 DA | 02 FC:1504 | 300.00 DA |

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- ☒ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
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1 JOSEPH P. ABATE

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3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

INTERNATIONAL BUSINESS MACHINES CORPORATION

ARMONK, NY

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

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☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature

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JOSEPH P. ABATE

Registration No. 30,238

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